



Filing ID #10025322

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Mary Gay Scanlon  
**Status:** Congressional Candidate  
**State/District:** PA05

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 04/10/2019  
**Period Covered:** 01/01/2017– 04/13/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
American Balanced Fund 529 ⇒ American Balanced Fund [MF]	DC	\$50,001 - \$100,000	Tax-Deferred		
Annuity ⇒ Northwestern Mutual Annuity [FN]	JT	\$500,001 - \$1,000,000	None		
DESCRIPTION: NWM Fixed Annuity from 401K Rollover					
Ballard 401K ⇒ American Century Equity [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Ballard 401K ⇒ American Europacific Growth [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Ballard 401K ⇒ Harbor Capital [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Ballard 401K ⇒ MFS Value [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Ballard 401K ⇒ PIMCO Total Return [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ballard 401K ⇒ PNC Stable Value [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
Ballard 401K ⇒ T Rowe Price Mid Cap [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Ballard 401K ⇒ Vanguard Institutional Index [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Ballard 401K ⇒ Vanguard Small Cap [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Ballard 401K ⇒ Vanguard Target 2025 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Ballard 401K ⇒ Vanguard Target Date 2015 [MF]		\$100,001 - \$250,000	Tax-Deferred		
Ballard 401K ⇒ Vanguard Target Date 2020 [MF]		\$250,001 - \$500,000	Tax-Deferred		
Ballard 401K ⇒ Vanguard Target Date 2020 [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Ballard 401K ⇒ Vanguard Total Bond [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Ballard Spahr LLP [OT]	SP	\$500,001 - \$1,000,000	Ordinary Income	None	Spouse/DC Over \$1,000,000
DESCRIPTION: Partner Capital Account					
Ballard Spahr LLP Pension [PE]	SP	Undetermined	None		
Brokerage ⇒ Exelon Corporation (EXC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Brokerage ⇒ Vanguard Wellington [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Genworth Universal Life Insurance [WU]		\$1,001 - \$15,000	None		
MetLife [WU]	SP	\$50,001 -	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$100,000			
Northwestern Mutual - Adjustable CompLife [WU] DESCRIPTION: Policy date 10/13/1992	SP	\$50,001 - \$100,000	None		
Northwestern Mutual - Estate CompLife [WU] DESCRIPTION: Policy date 10/23/2014	SP	\$1,001 - \$15,000	None		
Northwestern Mutual - Estate CompLife [WU] DESCRIPTION: Policy date 10/23/2013	SP	\$1,001 - \$15,000	None		
Northwestern Mutual - Estate CompLife [WU] DESCRIPTION: Policy date 10/23/2011	SP	\$15,001 - \$50,000	None		
Northwestern Mutual - Estate CompLife [WU] DESCRIPTION: Policy date 10/23/2007	SP	\$15,001 - \$50,000	None		
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 1/23/2013		\$15,001 - \$50,000	None		
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/14/1993		\$15,001 - \$50,000	None		
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/13/1992		\$15,001 - \$50,000	None		
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/2012	SP	\$15,001 - \$50,000	None		
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/2004	SP	\$15,001 - \$50,000	None		
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/2002	SP	\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/2000	SP	\$15,001 - \$50,000	None		
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/1998	SP	\$15,001 - \$50,000	None		
Northwestern Mutual Insurance ⇒ Growth Stock (MSA/The Boston Company) [MF] DESCRIPTION: Variable CompLife	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Northwestern Mutual Insurance ⇒ Index 500 Stock (MSA) [MF] DESCRIPTION: Variable CompLife	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Northwestern Mutual Insurance ⇒ International Equity (MSA/Franklin Tmpl) [MF] DESCRIPTION: Variable CompLife	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Northwestern Mutual Insurance ⇒ Mid Cap Growth Stock (MSA/Wellington) [MF] DESCRIPTION: Variable CompLife	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Northwestern Mutual IRA # 2 ⇒ American Balanced Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA # 2 ⇒ Chemours Company (CC) [ST]	SP	\$1 - \$1,000	Tax-Deferred		
Northwestern Mutual IRA # 2 ⇒ DowDuPont Inc. (DWDP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA # 2 ⇒ Oracle Corporation (ORCL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ American Century Diversified Bond [MF]		\$15,001 - \$50,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Commodity [MF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Northwestern Mutual IRA #1 ⇒ Dodge & Cox [MF]		\$15,001 - \$50,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Europacific Growth [MF]		\$15,001 - \$50,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights [MF]		\$15,001 - \$50,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Mid Cap [MF]		\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Hartford World Bond [MF]		\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value [MF]		\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ MFS Value [MF]		\$15,001 - \$50,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ New World [MF]		\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Northern Small Cap [MF]		\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Oakmark International [MF]		\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ PIMCO Income [MF]		\$15,001 - \$50,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ T Rowe Price Real Estate [MF]		\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual IRA #1 ⇒ Vanguard Short Term [MF]		\$100,001 - \$250,000	Tax-Deferred		
Northwestern Mutual Variable Annuity ⇒ Domestic Equity (MSA/Delaware) [MF]	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Northwestern Mutual Variable Annuity ⇒ Fidelity VIP Mid Cap [MF]	SP	\$1,001 - \$15,000	None		
Northwestern Mutual Variable Annuity ⇒ Global Real Estate Securities (RIF) [MF]	SP	\$1,001 - \$15,000	None		
Northwestern Mutual Variable Annuity ⇒ Index 500 Stock (MSA) [MF]	SP	\$1,001 - \$15,000	None		
Northwestern Mutual Variable Annuity ⇒ International Developed Markets (RIF) [MF]	SP	\$1,001 - \$15,000	None		
Northwestern Mutual Variable Annuity ⇒ Multi Sector Bond (MSA/PIMCO) [MF]	SP	\$1,001 - \$15,000	None		
Northwestern Mutual Variable Annuity ⇒ Small Cap Value (MSA/T Rowe Price) [MF]	SP	\$1,001 - \$15,000	None		
Pennsylvania State Employee Credit Union [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
PNC [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Roth ⇒ American Balanced Fund [MF]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
UTMA ⇒ Campbell Soup Company (CPB) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UTMA ⇒ Pilgrim's Pride Corporation (PPC) [ST]	DC	\$1 - \$1,000	Dividends	None	None
UTMA ⇒ United Parcel Service, Inc. (UPS) [ST]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UTMA ⇒ Vanguard Prime Cap [MF]	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Ballard Spahr LLP	Salary	\$45,000.00	\$175,459.00
Ballard Spahr LLP	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Trustee	Swarthmore Centennial Foundation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> <li>o American Balanced Fund 529 (Owner: DC) LOCATION: PA</li> <li>o Annuity (Owner: JT) LOCATION: US</li> <li>o Ballard 401K (Owner: SP)</li> <li>o Ballard 401K</li> <li>o Brokerage (Owner: JT) LOCATION: US</li> <li>o Northwestern Mutual Insurance (Owner: SP)</li> <li>o Northwestern Mutual IRA # 2 (Owner: SP)</li> </ul>
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- Northwestern Mutual IRA #1
- Northwestern Mutual Variable Annuity (Owner: SP)
- Roth (Owner: DC)
- UTMA (Owner: DC)  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Mary Gay Scanlon , 04/10/2019